

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
 (REV. 7-2005)

ATTORNEY'S DOCKET NUMBER

102132-35

**TRANSMITTAL LETTER TO THE UNITED STATES  
 DESIGNATED/ELECTED OFFICE (DO/EO/US)  
 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
 to be assigned: **10/575141**

INTERNATIONAL APPLICATION NO.  
**PCT/EP2004/001821**

INTERNATIONAL FILING DATE  
**February 24, 2004**

PRIORITY DATE CLAIMED  
**October 8, 2003**

**TITLE OF INVENTION**

**Plug-in connector for tube and hose lines with catch spring guide**

**APPLICANT(S) FOR DO/EO/US**

**Hartmann, Harald**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 23 below concern document(s) or information included:**

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV690630445 US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/575141</b> to be assigned	INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/001821</b>	ATTORNEY'S DOCKET NUMBER <b>102132-35</b>
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23. Other items or information:

**10/575141**

  

	CALCULATIONS	PTO USE												
The following fees have been submitted:														
24. <input checked="" type="checkbox"/> Basic national fee . . . . .	\$300	\$300.00												
25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0 All other situations. . . . .	\$200	\$200.00												
26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. . . . . \$100 International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. . . . . \$400 All other situations. . . . .	\$500	\$500.00												
<b>TOTAL OF 24, 25 and 26 =</b>	<b>\$</b>	<b>\$1,000.00</b>												
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">Total Sheets</th> <th style="width:15%;">Extra Sheets</th> <th style="width:35%;">Number of each additional 50 or fraction thereof (round up to a whole)</th> <th style="width:15%;">RATE</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">- 100 =</td> <td style="text-align: center;">0</td> <td style="text-align: center;">/50 =</td> <td style="text-align: center;">0</td> </tr> <tr> <td colspan="3"></td> <td style="text-align: right;">x \$250.00</td> </tr> </tbody> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE	- 100 =	0	/50 =	0				x \$250.00	\$	\$0.00
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE											
- 100 =	0	/50 =	0											
			x \$250.00											
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE											
Total claims	- 20 =	0	x \$50.00											
Independent claims	- 3 =	0	x \$200.00											
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> +			\$360.00											
<b>TOTAL OF ABOVE CALCULATIONS =</b>			<b>\$1,000.00</b>											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			\$0.00											
<b>SUBTOTAL =</b>			<b>\$1,000.00</b>											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$0.00											
<b>TOTAL NATIONAL FEE =</b>			<b>\$1,000.00</b>											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +			\$0.00											
<b>TOTAL FEES ENCLOSED =</b>			<b>\$1,000.00</b>											
			Amount to be \$											
			Amount to be \$											

- NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.**

**Christa Hildebrand  
Norris McLaughlin & Marcus PA  
875 Third Avenue -18th Floor  
New York, NY 11222  
Tel.: 212-808-0700  
Fax 212-808-0844**

Christa Antolekand

**SIGNATURE**

**Christa Hildebrand**

NAME

**34,953**

REGISTRATION NUMBER

**April 7, 2006**

DATE \_\_\_\_\_

10/575141

IAP20 Rec'd PCT/PTO 0213235  
Attorney Booked NO 07 APR 2006

APPLICANT: Hartmann, Harald

TITLE: Plug-in connector for tube and hose lines with catch spring guide

USSN: to be assigned

I.A. NO.: PCT/DE 2004/001821

**EXPRESS MAIL CERTIFICATE**

**"Express Mail" mailing label number EV690630445 US**

**Date of Deposit: April 7, 2006**

I hereby certify that the following items:  
Receipt of

1. Transmittal Letter to the United States Designated/Elected Office Concerning a Submission Under 35 U.S.C. 371- PTO Form-1390 – (1-3pages)
2. Application (pgs 1-28) including Specification (pgs 1-25 and Claims 1- 9 (pgs 26-28)
3. Preliminary Amendment (pages 1-7) including claims 9-13 and abstract.
4. Drawings –Figures 1- 26 (pages 1-18)
5. Application Data Sheet (1-3pages)
6. International Search Report ( 1-3 pages)
7. Copy of International Publication WO 2005/045299
8. Information Disclosure Statement and for PTO/SB008A
9. References (3)
10. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By \_\_\_\_\_  
Olimpia Jakubowska-Wrobel